

Section  
#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of: Tadashi Nagaso

Serial No. 09/839,947

Examiner: Unknown  
Group Art Unit: 2621

Filed: April 20, 2001

For: IMAGE FORMING DEVICE

.....  
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, Postage prepaid, in an envelope addressed to Box Missing parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on August 15, 2001.

By: Louise M. Rappaport  
Louise M. Rappaport

.....  
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant responds to the Notice To File Missing Parts of Nonprovisional Application mailed June 19, 2001 (a copy of the Notice is enclosed herewith).

Applicant filed this application on April 20, 2001 and included with the original Utility Patent Application Transmittal the original **signed** Declaration and Power of Attorney (the signature page of the Declaration is page 4). A copy of the Declaration and Power of Attorney as filed is attached to this response.

The Notice to File Missing Parts of Nonprovisional Application states that the signatures of four inventors are missing from the signature page of the Declaration. These signatures are Katsuhiko Machida, Akichika Takano, Susumu Iwano and Yoshiyuki Yasuda and were referred to in the Verification of Translation of the

Certified Copy of Japanese Patent Application No. 2000-150061, a copy of which is enclosed. Upon closer examination of that document, it will be clear that three of the names listed on this document are the patent attorneys who prosecuted this application in Japan and the fourth is the name of a representative of the Assignee. The sole inventor in this case is Tadashi Nagaso whose signature is on the Declaration and Power of Attorney.

Applicant respectfully requests that this response and the attachments hereto satisfy the filing requirements and that the items and fees required by the Notice to File Missing Parts are deemed to have been completed upon the filing of the application.

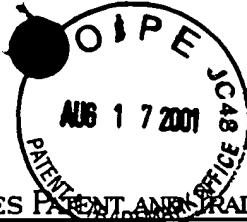
Respectfully submitted,



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David G. Conlin  
Registration No. 27026  
Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
Edwards & Angell  
P.O. Box 9169  
Boston, MA 02209  
Tel: (617) 439-4444

August 14, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,947	04/20/2001	Tadashi Nagaso	71117/55742

**CONFIRMATION NO. 7400**

**FORMALITIES LETTER**



\*OC00000006200859\*

Dike, Bronstein, Roberts & Cushman, LLP  
130 Water Street  
Boston, MA 02109

Date Mailed: 06/19/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Katsuhiko Machida, Akichika Takano, Susumu Iwano, Yoshiyuki Yasuda*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

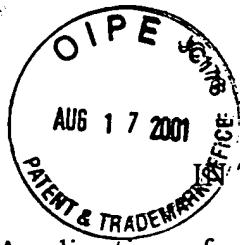
*A copy of this notice **MUST** be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt

Docket No. 71117-55742

THE UNITED STATES PATENT & TRADEMARK OFFICE

Application of: Tadashi Nagaso

Serial No. 09/839,947

Filed: April 20, 2001

For: IMAGE FORMING DEVICE

Assistant Commissioner For Patents  
Washington, D.C. 20231

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner For Patents, Application Processing Division's Customer Correction Branch, Washington, D.C. 20231, on August 15, 2001.

Louise M. Rappaport  
Louise M. Rappaport

\*\*\*\*\*

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request the correction of the Filing Receipt of the Japanese priority patent as follows:

Please delete the following inventors from this application:

Katsuhiko Machida

Akichika Takano

Susumu Iwano

Yoshiyuki Yasuda

Tadashi Nagaso  
U.S.S.No. 09/839,947  
Page 2

Please see a copy of the Response to the Notice to File Missing Parts of Nonprovisional Application mailed June 19, 200. As stated therein, there is only one inventor in the present case (Tadashi Nagaso). The four inventors listed above are patent attorneys who prosecuted the Japanese application in Japan; they were listed on the priority document and mistakenly thought by the Examiner to be inventors.

Applicants do not believe any fee is due. However, if for any reason a fee is owed, the Commissioner is hereby authorized and requested to charge Deposit Account No. 04-1105.

Respectfully submitted,



David G. Conlin, Esq.  
Reg. No. 27,026]  
Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice Group  
Edwards & Angell  
P.O. Box 9169  
Boston, MA 02209  
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Dated: Augt 19, 2001  
BOS2\_175197.I



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JUN 27 2001	
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EDWARDS & ANGELL LLP DIKE BRONSTEIN ROBERTS CUSHMAN	

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WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,947	04/20/2001	2621	980	71117/55742	9	8	1

CONFIRMATION NO. 7400

## FILING RECEIPT



\*OC00000006200858\*

Dike, Bronstein, Roberts & Cushman, LLP  
130 Water Street  
Boston, MA 02109

Date Mailed: 06/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tadashi Nagaso, Tenri-shi, JAPAN;  
Katsuhiko Machida, Residence Not Provided;  
Akichika Takano, Residence Not Provided;  
Susumu Iwano, Residence Not Provided;  
Yoshiyuki Yasuda, Residence Not Provided;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-150061 05/22/2000

If Required, Foreign Filing License Granted 06/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Image forming device

Preliminary Class

382

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Data entry by : MUSLIM, ALIA

Team : OIPE

Date: 06/19/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231